

# Narrowband and Low-Noise Brillouin Amplification for Coherent Communications

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**Abstract:** Advantages of Brillouin amplification for phase noise sensitive 64-QAM coherent communications are described. The limits of narrowband gain enhancing the carrier-to-noise ratio of noisy pilot tones for high performance optical signal carrier recovery are shown. © 2020.

## 1. Introduction

Brillouin amplifiers having wide ranging applications of its uniquely narrow gain bandwidth. Examples are optical clock frequency transfer [1], [2], high resolution optical spectroscopy [3], [4], optical frequency comb line extraction [5], [6], and microwave photonics [7]. Its potential for coherent communications has also been explored, including as a pre-amplifier of spectral lines in phase sensitive amplifiers for signal regeneration [8], and suppressing background noise from optical frequency combs as carrier sources for 64-QAM signals [9]. Another is aiding pilot tone extraction from the signal for low noise optical carrier recovery at the receiver [10-13], as a potential alternative to using a conventional local oscillator (LO) laser for intradyne detection. All examples benefit from large Brillouin gain with moderate pump powers. Of greater significance, Brillouin amplification of spectral lines can also be sufficiently low noise for low signal distortion in application to 64-QAM communications [9], [12]. Moreover, it can even boost performance by enhancing the carrier to noise ratio (CNR) relative to unamplified out of band noise [9].

This work describes such performance benefits of Brillouin amplification in application to spectral lines for 64-QAM communications. The main factors considered are both the practically achievable largest enhancement of CNR dictated by the narrowness of the Brillouin gain bandwidth, and the largest output CNR dictated by Brillouin noise. From this, the minimum tolerable input CNR for attaining the maximum output CNR is determined. The predicted limits are tested in various experiments of pilot tone carrier recovery from 64-QAM signals. The noise characteristics of the Brillouin amplifier itself are also evaluated by method of coherent detection and offline digital signal processing, revealing the limited range of launch powers that ensure lowest noise with large gain  $\geq 26$  dB.

## 2. Estimated CNR enhancement predictions

Figure 1 illustrates the schematic of CNR enhancement by narrowband gain. The input is in this case an optical frequency comb of spectral lines, each a delta function of power,  $P_C$  and background white noise of spectral power density,  $S_C$ . Amplification by gain,  $G$  adds noise that is approximated for the purpose of this analysis as also white but with a spectral power density  $S_B$  limited to within a bandwidth  $\Delta_B$  centered at each spectral line frequency. Thus, noise power added to each line is  $\approx S_B \cdot G \cdot \Delta_B$ . Notably,  $\Delta_B$  for Brillouin gain is around tens of megahertz and thus far narrower than the typical signal channel width of tens of gigahertz ( $\Delta_S$ ) in optical communications. By integrating the total noise power before and after applied gain, the respective CNR of  $\text{CNR}_{\text{IN}}$  and  $\text{CNR}_{\text{OUT}}$  are obtained [9]. The CNR enhancement factor follows as  $\Delta\text{CNR} = \text{CNR}_{\text{OUT}} / \text{CNR}_{\text{IN}}$  and has the upper limit  $\Delta\text{CNR}_{\text{LIM}} \rightarrow \Delta_S / [(1 + (S_B/S_C)) \cdot \Delta_B]$  for very large  $G$ . For practical conditions with a noisy input spectral line such that  $S_C \gg S_B$ , then  $\Delta\text{CNR}_{\text{LIM}} \approx \Delta_S / \Delta_B$ . The point being, a narrower gain bandwidth equates to an inversely proportional larger upper limit on  $\Delta\text{CNR}$ .

Calculations in [14], [15] gave insight into the approximate achievable  $\Delta\text{CNR}$  via narrowband gain. For realistic  $\Delta_S = 10$  GHz and practical Brillouin amplifier parameters in standard single mode fiber (SSMF) having  $\Delta_B = 30$  MHz [9], then  $\Delta\text{CNR} \rightarrow \Delta\text{CNR}_{\text{LIM}} \approx 25$  dB at  $G \approx 35$  dB in the case of  $S_B/S_C \ll 1$ , such as for a noisy spectral line with low  $\text{CNR}_{\text{IN}} \approx 0$  dB/0.1 nm. For  $G = 30$  dB, this is predicted to translate to  $\text{CNR}_{\text{OUT}} \approx 24$  dB/0.1 nm, which is near the minimum spectral line CNR needed to ensure its use with 64-QAM signals achieves low bit error rate (BER) below the hard decision FEC limit [15]. On the other hand, for rising  $\text{CNR}_{\text{IN}} \gg 20$  dB/0.1 nm where  $S_B/S_C$  is no longer  $\ll 1$ , Brillouin noise comes into play and caps  $\text{CNR}_{\text{OUT}}$  for any  $G$  to near  $\approx 40$  dB/0.1 nm. Such high  $\text{CNR}_{\text{OUT}}$  corresponds to minimal degradation of 64-QAM signal BER and is predicted reached for  $\text{CNR}_{\text{IN}} \approx 20$  dB/0.1 nm with  $G \approx 30$  dB.

## 3. Low noise Brillouin amplification

Operating Brillouin amplifiers for lowest noise output is required for most applications. Figure 1 illustrates a typical schematic using a backward propagating pump to drive gain,  $G$  in a gain medium for an input spectral line. Here, the

pump is sourced from the input itself by a coupler as reported in [16]. This favorably eliminates use of a dedicated pump laser. Moreover, it avoids the need for sophisticated frequency locking [17] to suppress the otherwise relative random frequency drift between both lasers from being near enough to the narrow Brillouin gain bandwidth to cause gain fluctuations. The self-frequency aligning pump has been observed effective even for poor  $\text{CNR}_{\text{IN}} \approx 0 \text{ dB}/0.1 \text{ nm}$  [15]. Maximizing the gain requires the pump be frequency up-shifted by  $\delta f_B$ , determined by the gain medium parameters. This is 10.83 GHz at 1555 nm in SSMF and can be easily applied by electro-optic modulation [18], [19].

Noise for Brillouin amplification is also sensitive to operating conditions making its characterization important. A typical method is heterodyne beat signal analysis [1]. A recent alternative used coherent detection and digital signal processing [20], related to measuring laser noise [21-23]. This allowed evaluating amplitude and phase noise as well as  $Q^2$ -factor degradation. Low distortion with large Brillouin gain  $\geq 26 \text{ dB}$  in a 4.46 km SSMF was found constrained to launch powers of between  $\approx -13$  to  $-6 \text{ dBm}$  for the input and  $\approx 14$ - $20 \text{ dBm}$  for the pump. Both optimum  $\delta f_B$  and state of polarization for maximum gain were also crucial. These parameters were targeted in Section 4 tests.

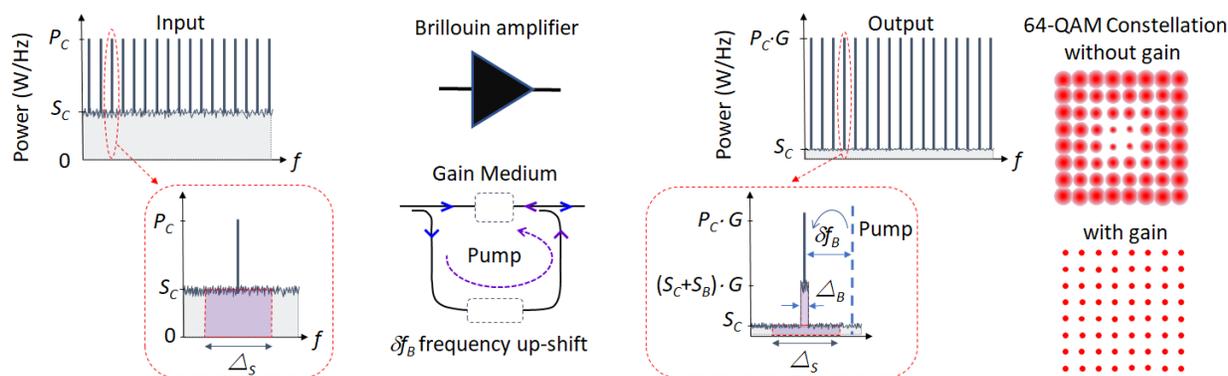


Fig. 1. Schematic of Brillouin amplifier applying gain  $G$  to optical frequency comb lines. The CNR of each spectral line is enhanced by suppression of out of band noise with respect to the narrow gain bandwidth,  $\Delta_B$  and signal channel width,  $\Delta_s$ . (Right inset): Applying the lower noise spectral line as a signal carrier or local oscillator for coherent detection of 64-QAM signals translates to a lower distortion signal constellation.

#### 4. 64-QAM pilot tone carrier recovery limits

The benefit of Brillouin gain for optical carrier recovery in coherent detection of 64 QAM signals was also evaluated for testing the limits in Section 2 [15]. The BER was measured after transmission through a 80 km link of SSMF for a 48 Gb/s 64-QAM signal containing a polarization multiplexed pilot tone. Brillouin amplification was performed in a 4.46 km long SSMF at the receiver and applied to only the pilot tone that served as the LO for coherent detection.

The robustness of Brillouin gain in aiding pilot tone carrier recovery was evaluated for wide ranging power ratio of the pilot to signal (PSR). Decreasing the PSR degraded the pilot tone  $\text{CNR}_{\text{IN}}$  at the receiver due to increased amplified spontaneous emission noise (ASE) added mainly from the receiver EDFA. Brillouin gain countered this to enhance the overall CNR before coherent detection. The signal BER reached a minimum for  $\text{PSR} \approx -16 \text{ dB}$  corresponding to a measured pilot tone  $\text{CNR}_{\text{IN}} \approx 19 \text{ dB}/0.1 \text{ nm}$ . This is consistent with the Section 2 prediction that  $\text{CNR}_{\text{IN}} \approx >20 \text{ dB}/0.1 \text{ nm}$  gives a  $\text{CNR}_{\text{OUT}}$  of around  $\approx 40 \text{ dB}/0.1 \text{ nm}$ , where the BER degradation of 64-QAM signals becomes minimal. By extrapolation from the linear trend of measured  $\text{CNR}_{\text{IN}}$  versus PSR, the minimum tolerable PSR for achieving a BER below the hard decision FEC limit [24] was deemed as being  $\approx -30 \text{ dB}$ . That was by extrapolating  $\text{CNR}_{\text{IN}}$  down to  $\approx 0 \text{ dB}/0.1 \text{ nm}$ , which Section 2 predicted would give  $\text{CNR}_{\text{OUT}} \approx 24 \text{ dB}/0.1 \text{ nm}$ . This is notably well below the typical PSR  $\approx -10 \text{ dBm}$  used for carrier recovery by the optical injection locking method [25].

The CNR improvement limits were also tested for wide ranging launch power ( $P_{\text{IN}}$ ) to the 80 km link. In this case, decreasing  $P_{\text{IN}}$  degraded both the optical signal to noise ratio (OSNR) and pilot tone  $\text{CNR}_{\text{IN}}$  at the receiver due to ASE added by the receiver EDFA. Performance was compared to using a conventional LO for coherent detection for a signal without a pilot tone. For high  $P_{\text{IN}}$  where signal BER degradation was dominated by the nonlinear Kerr effect in the 80 km link, performance was close to the reference case. This was consistent with predictions for  $G \approx 30 \text{ dB}$  since the measured pilot tone  $\text{CNR}_{\text{IN}}$  exceeded  $20 \text{ dB}/0.1 \text{ nm}$ , corresponding to predicted  $\text{CNR}_{\text{OUT}}$  being around  $\approx 40 \text{ dB}/0.1 \text{ nm}$ , that equates to a minor impact on the BER. On the other hand, performance was worse in the low  $P_{\text{IN}}$  region where degradation was dominated by EDFA ASE. This was again consistent since measured  $\text{CNR}_{\text{IN}} \approx 15 \text{ dB}/0.1 \text{ nm}$  corresponded to predicted  $\text{CNR}_{\text{OUT}}$  below  $\approx 40 \text{ dB}/0.1 \text{ nm}$  due to insufficient  $\Delta \text{CNR}$  limited by  $\Delta_B$ .

The limits were similarly consistent for a WDM  $3 \times 48$  Gb/s signal [15] using the same Brillouin amplifier for delivering  $\approx 30$  dB gain to 3 tone pilot tones, verifying the validity of the predictions for more advanced schemes.

## 5. Summary

Brillouin amplifiers offer performance benefits to phase noise sensitive coherent communications with 64-QAM signals. Its capability to reduce signal distortion by enhancing spectral line CNR, such as for optical frequency comb carriers or pilot tone carrier recovery is greatest for noisier spectral lines where Brillouin noise has less impact. In this case, the performance boost is limited by the narrowness of the Brillouin gain bandwidth. On the other hand, for less noisy spectral lines, Brillouin noise comes into play, even in low noise operating conditions and caps the highest achievable CNR to around 40 dB/0.1 nm at 30 dB gain level. Nevertheless, such large CNR is enough to ensure low distortion impact on 64-QAM signals. These characteristics were also shown favorably scalable to WDM operation.

## 6. References

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