# Flexibility in Future Optical Access Networks

Doutje van Veen and Vincent Houtsma

Nokia Bell Labs, Murray Hill, NJ 07974 USA, (dora.van\_veen@nokia-bell-labs.com)

**Abstract** Overview of using flexibility to increase overall PON throughput, to extend optical power budget, and to reduce energy consumption in future optical access networks. Standardization of flexibility features is also discussed. ©2023 The Author(s)

# Introduction

The peak line-rate of time division multiplexed passive optical networks (TDM-PON) based on intensity modulation with direct detection (IM/DD) has been continuously pushed higher because of emerging high bandwidth applications like cloud services, 5G/6G mobile transport, virtual reality, premium high peak-rate services, and ultra-high-definition video and gaming.

In 2020 a 25 Gb/s based TDM-PON was standardized by IEEE [1]. This standard describes a single wavelength 25 Gb/s PON and a dual wavelength 50 Gb/s PON. In that same year also a 25GS-PON multi source agreement (MSA) was defined for a single wavelength 25G TDM-PON with an ITU-T-based TC Layer [2].

One year later an asymmetric 50 Gb/s down and 25 Gb/s upstream TDM-PON was standardized by ITU-T [3]. And very recently, in April 2023 a standardized symmetric 50 Gb/s TDM-PON is in force [4].

A TDM-PON is inherently very flexible due to the TDM nature of sharing the bandwidth between users in this point-to-multipoint architecture. Bandwidth can be very flexibly allocated. However, additional flexible aspects are starting to appear in the most recently standardized PONs.

In this paper an overview of currently available and potential future flexibility features and their advantages are described.

## **Increasing Overall Throughput**

Increasing the line-rate beyond 10 Gb/s in PON has been a topic of research for a while [5-8]. The target optical power budget in PON is fixed (and some operators even ask for larger budgets), due to the already installed optical distribution networks (ODN). Fibre installation is very costly, so PON operators request that legacy ODNs will be supported by every new generation of PON. However, in the case of IM/DD increasing the baud rate decreases chromatic dispersion (CD) tolerance and reduces the receiver sensitivity, making it difficult to continue supporting the installed ODN base in a cost-effective way [5-7].

One way to mitigate this stringent requirement

is to make use of the fact that most optical network units (ONU) on a PON have an optical channel that is better than the worst case. This enables optimization of the data-rate for each ONU via flexible modulation and forward error correction (FEC). An ONU with a more relaxed optical channel (with respect to optical loss and total CD) can receive a higher order modulation and/or lower overhead FEC, which increases the overall throughput of the PON and enables the continued usage of cost-effective IM/DD. We investigated and demonstrated the flexible PON concept in [9-11].

In [12,13] we showed the feasibility of recovery of a mixed modulation signal without extra synchronization patterns, using an off-theshelf 50Gbd clock and data recovery (CDR) with adaptive equalizer in a real-time experimental setup. In [14] we studied probabilistic (PS) and geometric shaping (GS) to enable higher resolution bandwidth adaptability to further optimize the transmission for each ONU. In [15] we studied PS in combination with flexible softinput FEC to adapt the bandwidth per ONU. Finally, in [16] we examined training of an artificial neural network with data generated using a simulation of a realistic model of the PON physical layer to enable a generalized scheme to select the optimal shaping per ONU.

Recently, flexible modulation concepts are also being researched for future coherent PON to adapt the rate per ONU [17,18].

# Extending Optical Power Budget

In addition to increasing the line-rate for ONUs that have a more relaxed optical channel compared to the worst case as defined in the PON standards, there can be ONUs that have an even poorer channel. This can be due to a temporary connection problem or due to an ONU being too far away in for example a rural area.

To support these use-cases we proposed to use an alternative line-code, Miller encoding, which provides increased CD tolerance as well as increased receiver sensitivity at the cost of halving the bitrate but with seamless recovery of the mixed modulated signal with an adaptive

CDR with equalizer. In [12,13] we showed up to 5 dB increased optical power budget for 25 Gb/s Miller versus conventional 50 Gb/s non-return-tozero (NRZ) after worst case total CD.

Alternatively, and in addition, the power budget can be increased with a higher gain and higher overhead FEC, which we investigated in [15,19].

#### **Reducing Energy Consumption**

Energy consumption has become an issue that is becoming visible on the radar of many [20]. In current PON standards energy consumption reduction in the form of schemes based on sleepmodes has been accepted [21]. Sleep-modes enable flexibly turning off and on certain sections of the ONU and optical line termination (OLT) transceiver (TRx) equipment depending on the amount of traffic.

Energy consumption can be further reduced depending on traffic and channel conditions by introducing additional flexibility in the PON system.

In the past the bit-interleaved (Bi)-PON



concept has been shown in the context of the GreenTouch project [22]. The Bi-PON concept is based on adapting the PON data-rate to the userrate by using a decimating clock and data recovery (CDR) at the ONU. This enables all receiver functions beyond the CDR, like FEC, scrambling, to run at the (much) lower user-rate instead. More recently, we developed a scheme also based on just processing data closer to the user-rate at each ONU, namely FEC codeword (CW) grouping [15]. In this scheme ONUs are grouped, and each ONU will only decode CWs in its own group which enables significant complexity, energy- and power consumption reduction.

Doubling PON capacity by multiplexing of two channels (for example wavelengths) is attractive with respect to optical power budget and CD tolerance [23], but it might also be attractive for introducing flexibility to reduce overall energy and power consumption by enabling switching ONUs to fewer OLTs during low traffic (for example during night-time). Reassigning ONUs to fewer OLTs can be enabled with a time- and wavelength division multiplexed PON (TWDM-PON), like NG-PON2 [24] which has wavelength tuneable TRx or the 2x25G IEEE PON [1] which has fixed wavelength TRx. Reassigning ONUs to fewer OLTs can also be accomplished by switching in the spatial domain using an optical switch, which has been documented in [25] by British Telecom.

Fig. 1 illustrates the two described TWDM-PON architectures (A and B) and the spatial switching architecture (C), also indicating which TRx can be turned off during low traffic. Architectures A and B are based on two wavelength channels, architecture C on two fibres and all of them feature 50 Gb/s overall throughput per PON for easier comparison.

The energy consumption performance of the three architectures depends on many factors, like the extra introduced optical loss, the power consumption of the required DSP, and the specific traffic demands. For example, a traffic profile that mostly requires the 25 Gb/s rate will likely favour the two TWDM-PON architectures because they have more optimal 25 Gb/s TRx implementations. But a traffic profile that requires mostly the 50 Gb/s peak-rate will likely favour the architectures with the more energy efficient 50 Gb/s TRx implementations. However, the 25 Gb/s line-rate does not require DSP [6], so a 1x50 Gb/s implementation might have a similar power efficiency compared to the 2x25 Gb/s implementation depending on the power consumption of the needed DSP relative to power needed to provide the second channel. Note that



Fig. 1: A: Wavelength tunable TWDM-PON, B: Fixed wavelength TWDM-PON, C: Spatially multiplexed TDM-PON.



Fig. 2: Illustration of flexible DSP chain concept for optimized energy consumption.

the wavelength tuneable TWDM-PON architecture (A) cannot provide the maximum 50 Gb/s peak-rate). A more detailed quantitative analysis of the energy consumption of such wavelength- or spatial multiplexed architectures compared with the consumption of a conventional TDM-PON or the flexible TDM-PON architectures is ongoing.

Another method for optimizing energy consumption can be based on a flexible digital signal processing (DSP) chain to adapt to the received signal-to-noise (SNR) at the ONU. An ONU that receives a high SNR can reduce DSP complexity if the digital signal processing chain would be flexible resulting in lower energy consumption. See Fig. 2 for an illustration of the flexible DSP chain concept.

Finally, the most recent PON standards use a transmitter and dispersion eye closure (TDEC) test to qualify transmitters [1-4]. This enables a trade-off between launch power and transmitter quality because the required minimum launch power depends on TDEC. This flexibility enables a larger solution space and thus a lower cost and higher volume eco-system, but it also can support minimized optical launch power which will result in an overall lower energy consumption.

#### Standardization

As already stated, the standardized PONs are already very flexible due to bandwidth sharing via TDM and WDM.

Another recently added flexible feature available in the 50G PON standard is flexible upstream FEC [19]. And the already mentioned adoption of TDEC also introduces flexibility [26].

For several years flexible modulation has already been enabled for other standardized access networks, like DSL, DOCSIS, Wi-Fi, and mobile networks [27-30]. In these networks resources like frequency spectrum and energy are scarce and must be used optimally which is enabled via flexible modulation schemes (and flexible FEC).

As of now standardization of flexible modulation has not happened yet for PON. All standardized PONs up to now have relied on just one modulation scheme, NRZ because simplicity is still more important compared to optimal resource use. However, it is expected that also for PON increased flexibility will be needed to accommodate required performance with the available resources. One crucial resource that might drive more flexibility into optical access is energy and power consumption. For operators, to accommodate rising energy costs and for equipment vendors to enable small form factors which enable higher density and thus more costeffectiveness.

#### Conclusions

An overview of a wide range of flexible concepts that can be used in optical access is given.

IM/DD has always outperformed coherent detection with respect to power consumption, complexity and cost [31], so it is very advantageous to continue to use IM/DD in optical access. Flexible modulation can stretch the use of IM/DD while increasing overall throughput of the PON. Flexible modulation can also provide an extended optical power budget for ONUs that require this and to potentially reduce energy consumption in future optical access networks via switching ONUs to a lower rate with lower energy consumption when traffic permits.

Another scheme to extend the use of IM/DD is to multiplex two channels, for example two wavelength channels. This way gained flexibility can enable reassigning ONUs to fewer OLT TRx when traffic allows, resulting in reduced overall energy consumption. Reassigning ONUs can also be enabled via a spatially switched architecture based on optical switching with similar potential savings.

Finally, standardization of flexibility features is discussed. Several flexible concepts are already available in standardized PONs. The overall trend that can be seen is towards more flexibility which will likely be driven by the need to use resources in a more optimal way.

#### Acknowledgements

We thank Jochen Maes and Ed Harstead for their input to this paper.

### References

- "IEEE Standard for Ethernet Amendment 9: Physical Layer Specifications and Management Parameters for 25 Gb/s and 50 Gb/s Passive Optical Networks," in IEEE Std 802.3ca-2020, 3 July 2020, DOI: 10.1109/IEEESTD.2020.9135000.
- [2] 25GS-PON MSA, 25GS-PON Specification
- [3] Recommendation ITU-T G.9804.3, "50-Gigabit-capable passive optical networks (50G-PON): Physical media dependent (PMD) layer specification", 2021 <u>ITU-T</u> <u>G.9804.3</u>
- [4] Recommendation ITU-T G.9804.3 Amd. 1, " 50-Gigabitcapable passive optical networks (50G-PON): Physical

media dependent (PMD) layer specification Amendment 1", 2023 ITU-T G.9804.3 Amd. 1

- [5] D. van Veen and V. Houtsma, "High Speed TDM-PON Beyond 10G," in Optical Fiber Communication Conference, OSA Technical Digest (online) (Optica Publishing Group, 2016), paper Tu3C.3.
- [6] J. Man, S. Fu, H. Zhang, J. Gao, L. Zeng, and X. Liu., "Downstream Transmission of Pre-Distorted 25-Gb/s Faster-than-Nyquist PON with 10G-Class Optics Achieving over 31 dB Link Budget without Optical Amplification," in Proc. OFC, Anaheim (2016), paper Th11.5. COI: <u>OFC.2016.Th11.5</u>
- [7] D. van Veen, "Transceiver Technologies for Next-Generation PON (Tutorial)," in Optical Fiber Communication Conference (OFC) 2020, OSA Technical Digest (Optica Publishing Group, 2020), paper W1E.2.
- [8] V. Houtsma, A. Mahadevan, N. Kaneda and D. van Veen, "Transceiver technologies for passive optical networks: past, present, and future [Invited Tutorial]," *Journal of Optical Communications and Networking*, vol. 13, no. 1, pp. A44-A55, January 2021, DOI: 10.1364/JOCN.403500.
- [9] V. E. Houtsma and D. T. van Veen, "Investigation of Modulation Schemes for Flexible Line-Rate High-Speed TDM-PON," in Journal of Lightwave Technology, vol. 38, no. 12, pp. 3261-3267, 15 June15, 2020, DOI: 10.1109/JLT.2020.2976959.
- [10] N. Kaneda, D. van Veen, A. Mahadevan and V. Houtsma, "DSP for 50G/100G Hybrid Modulated TDM-PON," 2020 European Conference on Optical Communications (ECOC), Brussels, Belgium, 2020, pp. 1-4, DOI: 10.1109/ECOC48923.2020.9333248
- [11] R. Borkowski, Y. Lefevre, A. Mahadevan, D. van Veen, M. Straub, R. Kaptur, B. Czerwinski, B. Cornaglia, V. Houtsma, W. Coomans, R. Bonk, and J. Maes, "FLCS-PON – A 100 Gbit/s Flexible Passive Optical Network: Concepts and Field Trial," in Journal of Lightwave Technology, vol. 39, no. 16, pp. 5314-5324, 15 Aug.15, 2021, DOI: <u>10.1109/JLT.2021.3102383</u>.
- [12] V. Houtsma and D. van Veen, "A Real-Time 25/50/100G Flex-Rate PON Implementation," 2022 European Conference on Optical Communication (ECOC), Basel, Switzerland, 2022
- [13] D. van Veen and V. Houtsma, "Real-time validation of downstream 50G/25G and 50G/100G flexible rate PON based on Miller encoding, NRZ and PAM4 modulation," *Journal of Optical Communications and Networking,* August 2023, DOI: <u>10.1364/JOCN.483159</u>.
- [14] N. Kaneda, R. Zhang, Y. Lefevre, A. Mahadevan, D. van Veen, and V. Houtsma, "Experimental demonstration of flexible information rate PON beyond 100 Gb/s with probabilistic and geometric shaping," *Journal of Optical Communications and Networking*, 14, A23-A30 (2022), DOI: <u>10.1364/OFC.2021.F2H.2</u>
- [15] R. Borkowski, Y. Lefevre, A. Mahadevan, D. van Veen, M. Straub, R. Kaptur, B. Czerwinski, B. Cornaglia, V. Houtsma, W. Coomans, R. Bonk, and J. Maes, "FLCS-PON—an opportunistic 100 Gbit/s flexible PON prototype with probabilistic shaping and soft-input FEC: operator trial and ODN case studies," *Journal of Optical Communications and Networking*, 14, C82-C91 (2022), DOI: <u>10.1364/JOCN.452036</u>
- [16] S. Yao, A. Mahadevan, Y. Lefevre, N. Kaneda, V. Houtsma, and D. van Veen, "Artificial Neural Network Assisted Probabilistic and Geometric Shaping for Flexible Rate High-speed PONs," in *Journal of Lightwave Technology*, DOI: <u>10.1109/JLT.2023.3259929</u>

- [17] G. Li, A. Yan, S. Xing, Z. Li, W. Shen, J. Wang, J. Zhang, and N. Chi, "Pilot-Aided Continuous Digital Signal Processing for Multiformat Flexible Coherent TDM-PON in Downstream," in Optical Fiber Communication Conference (OFC) 2023, Technical Digest Series (Optica Publishing Group, 2023), paper W11.3.
- [18] H. Zhang, Z. Jia, L. A. Campos, and C. Knittle, "Rate-Flexible Coherent PON Up To 300 Gb/s Demonstrations with Low Complexity TDM Burst Design," in Optical Fiber Communication Conference (OFC) 2023, Technical Digest Series (Optica Publishing Group, 2023), paper W11.2.
- [19] A. Mahadevan, Y. Lefevre, E. Harstead, W. van Hoof, D. van Veen, and V. Houtsma, "Flexible Upstream FEC for Higher Throughput, Efficiency, and Robustness for 50G PON," in *Optical Fiber Communication Conference* (OFC) 2022, paper M3G.5, DOI: <u>10.1364/OFC.2022.M3G.5</u>
- [20] "Transforming our world: the 2030 Agenda for Sustainable Development", United Nations, <u>2030agenda</u>
- [21] Kani, Ji., van Veen, D. (2020). Current TDM-PON Technologies. In: Mukherjee, B., Tomkos, I., Tornatore, M., Winzer, P., Zhao, Y. (eds) Springer Handbook of Optical Networks. Springer Handbooks. Springer, Cham. DOI: <u>10.1007/978-3-030-16250-4\_27</u>
- [22] D. Suvakovic et al., "A Low-Energy Rate-Adaptive Bit-Interleaved Passive Optical Network," in IEEE Journal on Selected Areas in Communications, vol. 32, no. 8, pp. 1552-1565, Aug. 2014, DOI: <u>10.1109/JSAC.2014.2335331.</u>
- [23] D. van Veen and V. Houtsma, "Strategies for economical next-generation 50G and 100G passive optical networks [Invited]," in Journal of Optical Communications and Networking, vol. 12, no. 1, pp. A95-A103, January 2020, DOI: <u>10.1364/JOCN.12.000A95.</u>
- [24] "40-gigabit-capable passive optical networks 2 (NG-PON2): physical media dependent (PMD) layer specification," ITU-T G.989.2 (2014). <u>T-REC-G.989.2</u>
- [25] ITU-T G.Sup.45, "Power conservation in optical access systems" (09/2022), <u>T-REC-G.Sup45-202209-P</u>
- [26] B. Li, D. Nesset, D. Liu, Z. Ye and L. Li, "DSP Enabled Next Generation Flexible PON for 50G and Beyond," 2022 Optical Fiber Communications Conference and Exhibition (OFC), San Diego, CA, USA, 2022, pp. 1-3.
- [27] Recommendation ITU-T G.9701, "Fast access to subscriber terminals (G.fast) - Physical layer specification", 2014-12-18, <u>ITU-T-REC-G.9701</u>
- [28] DOCSIS 4.0 Physical Layer Specification, <u>docsis-4-0-</u> <u>technology</u>
- [29] "IEEE Standard for Information Technology--Telecommunications and Information Exchange between Systems - Local and Metropolitan Area Networks--Specific Requirements - Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications," in IEEE Std 802.11-2020, 26 Feb. 2021, DOI: <u>10.1109/IEEESTD.2021.9363693</u>.
- [30] "System architecture for the 5G System (5GS)", ETSI TS 123 501 V16.12.0 (2022-03, <u>3GPP TS 23.501 version</u> <u>16.12.0 Release 16</u>
- [31] X. Zhou, R. Urata and H. Liu, "Beyond 1 Tb/s Intra-Data Center Interconnect Technology: IM-DD OR Coherent?," in Journal of Lightwave Technology, vol. 38, no. 2, pp. 475-484, 15 Jan.15, 2020, DOI: <u>10.1109/JLT.2019.2956779</u>